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| 09/724,606      | 11/28/2000  | Dexter A. Burleigh   | 06558/007002        | 7648             |

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EXAMINER

THAI, HANH B

| ART UNIT | PAPER NUMBER |
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2171

18

DATE MAILED: 05/04/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/724,606

Applicant(s)

BURLEIGH ET AL.

Examiner

Hanh B Thai

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— The MAILING DATE of this communication appears on the cover sheet with the correspondence address —  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on amendment 2/19/2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>17</u> . | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Response to Arguments*

1. Applicant's arguments filed February 19, 2004 have been fully considered but they are not persuasive.

Applicant argues on page 12 that “the Cwenar reference is non-analogous art, and thus may not be combined with Armitage”. In response to applicant's argument that Cwenar reference is nonanalogous art, it has been held that a prior art reference must either be in the field of applicant's endeavor or, if not, then be reasonably pertinent to the particular problem with which the applicant was concerned, in order to be relied upon as a basis for rejection of the claimed invention. See *In re Oetiker*, 977 F.2d 1443, 24 USPQ2d 1443 (Fed. Cir. 1992). In this case, Cwenar reference teaches a system for receiving, processing, creating, storing and disseminating investment information that multiple users access the server including portfolio management (see abstract of Cwenar), but not limited to the investment information, the system is used with mutual fund portfolio management and transaction information. Hence, Cwenar teach a system of sharing data by multiple users and a plurality of different programs (Cwenar, col.4, lines 4-29, col.5, lines 57-60 and col.6, lines 36-44).

In the related art, Armitage teaches a system and method for translating seismic data including the productivity of hydrocarbon exploration data information (Armitage, col.5, lines 7-22) and the data of Armitage's system collected from the different programs (Armitage, col. 3, lines 13-40).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the teachings of Cwenar and Armitage to include a

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hydrocarbon-producing data into the portfolio management data in Cwenar because it would have been to improve accuracy in hydrocarbon exploration and development (col.4, 13-14, Armitage).

Applicant argues on pages 13-14 that the combination of Cwenar and Armitage still fails to show or suggest the present invention as claimed “a data repository storing related hydrocarbon-producing portfolio data tied to a key parameter field; at least one application server to provide a plurality of different applications to a plurality of users...at least some related hydrocarbon-producing portfolio data having the key parameter field. Wherein the data repository can be updated with the related hydrocarbon-producing portfolio data generated by each of the plurality of different applications.”

Examiner respectfully disagrees. Cwenar teaches a system for receiving, processing, creating and storing information that multiple users access the server including portfolio management (see abstract of Cwenar), a data repository (101, Fig.4, Cwenar) storing portfolio data tied to a key parameter field (FIG. 6; col. 7, lines 21-27 and col. 13, lines 19-60, Cwenar); and at least one application server (100) providing a plurality of applications (column 6, lines 36-39) to a plurality of users (126, 128, 130, 132, 134, 136, 138 and 140), the at least one application server (100) operatively coupled to the data repository (101), at least one of the applications generating at least some data having the key parameter field, the at least one application server (101) adapted to retrieve and update (column 5, lines 47-54 and column 6, lines 10-14, Cwenar) selected ones of the related data when ones of the applications use and generate application data having the key parameter field (see column 6, line 66 to column 7, line 3 and lines 24-25; abstract and FIG. 6 of Cwenar).

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Armitage is used as a secondary reference for the teaching of “related hydrocarbon-producing portfolio data” (col. 4, lines 57-66; col. 5, lines 7-14 and col.7, lines 44-46, Armitage). Therefore, it would have been obvious to apply the “related hydrocarbon-producing portfolio data” of Armitage into the creating, storing and managing data system of Cwenar. Thus, Cwenar and Armitage combination teach the claimed limitations.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-7 and 15-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cwenar (U. S. Patent no. 5,893,079) in view of Armitage (U. S. Patent no. 5,475,589).

Regarding claims 1 and 21-22, Cwenar discloses at (FIG. 4) an information management system, comprising:

- a data repository (101, Fig.4, Cwenar) storing portfolio data tied to a key parameter field (FIG. 6; col. 7, lines 21-27 and col. 13, lines 19-60, Cwenar); and
- at least one application server (100) adapted to provide a plurality of applications (column 6, lines 36-39) to a plurality of users (126, 128, 130, 132, 134, 136, 138 and 140), the at least one application server (100) operatively coupled to the data repository (101), each of the applications adapted to generate at least some data

having the key parameter field, the at least one application server (101) adapted to retrieve and update (column 5, lines 47-54 and column 6, lines 10-14, Cwenar) selected ones of the related data when ones of the applications use and generate application data having the key parameter field (see column 6, line 66 to column 7, line 3 and lines 24-25; abstract and FIG. 6 of Cwenar).

Cwenar, however, does not disclose that the related hydrocarbon-producing portfolio data. Armitage, on the other hand, discloses these limitations on (col. 4, lines 57-66; col. 5, lines 7-14 and col.7, lines 44-46, Armitage). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Cwenar, as taught by Armitage. The motivation of doing so would have been to improve accuracy in hydrocarbon exploration and development (col.4, 13-14, Armitage).

Regarding claim 2, Cwenar further discloses the system wherein the data repository is adapted to store all data generated by each of the applications (see col.8, lines 46-50, Cwenar).

Regarding claim 3, Cwenar further discloses the system wherein the data repository comprises a plurality of databases each adapted to store data from a respective one of the plurality of applications (see col.14, lines 11-16, Cwenar).

Regarding claims 4 and 24, Cwenar/Armitage combination discloses that the related data are relevant to a hydrocarbon-producing portfolio (see col. 4, lines 57-66; col. 5, lines 7-14 and col.7, lines 44-46, Armitage).

Regarding claim 5, Cwenar further discloses the system wherein the plurality of applications comprises at least one selected from the group of a database management application, a portfolio management application, and a portfolio forecast application (see col.7, lines 7-20, Cwenar).

Regarding claim 6, Cwenar further discloses at (Fig. 1 and Fig. 6) that the database management application comprises a front-end user interface (2, Fig. 1) operatively coupled to the data repository (4, Fig.1) and adapted to generate at least some data having the key parameter field when ones of the plurality of users enter data into the front-end user interface (Fig. 6, Cwenar).

Regarding claim 7, Cwenar further discloses the system at (FIG. 1 of Cwenar) wherein the front-end user interface (2) comprises a plurality of different application modules each directed to specific ones of the plurality of users (elements 22, 24, 26 and 28, Cwenar).

Regarding claims 15 and 19-20, Cwenar discloses a management system (FIG. 4) for a hydrocarbon-producing portfolio, comprising:

- at least one server (100, Fig.4, Cwenar) adapted to serve a plurality of applications (column 6, line39) to respective users (126, 128, 130, 132, 134, 136, 138 and 140), each of the applications adapted to generate data corresponding to the respective user,

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- at least some of the data generated by each application having a key parameter field (101, FIG. 4 and FIG. 6; col. 7, lines 21-27 and col. 13, lines 19-39, Cwenar) therein;
- a database management system (101, Fig.4, Cwenar) operatively coupled to the at least one server (100, Fig.4, Cwenar) and adapted to store at least some of the data generated by each application and update any of the stored data having the key parameter field when ones of the applications modify any of the stored data having the key parameter field (see column 6, line 66 to column 7, line 3 and lines 24-25; abstract and FIG. 6 of Cwenar);
  - the at least one server adapted to serve the updated data to any other ones of the applications when the other ones of the applications retrieves the updated data having the key parameter field (column 5, lines 50-52; column 6, line 66 to column 7, line 3 and lines 24-25). The at least one business process model application adapted to automatically update the modeled data when any ones of the selected ones of the stored data are updated by operation of any of the other applications (see col.5, lines 47-54 and col.6, lines 10-14, Cwenar).

Cwenar, however, does not disclose that the related hydrocarbon-producing portfolio data. Armitage, on the other hand, discloses these limitations on (col. 4, lines 57-66; col. 5, lines 7-14 and col.7, lines 44-46, Armitage). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Cwenar, as taught by Armitage. The motivation of doing so would have been to improve accuracy in hydrocarbon exploration and development (col.4, 13-14, Armitage).



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Regarding claims 16-18 and 26-27, Cwenar/Armitage combination further discloses that the business process model comprises creating an optimized drilling schedule (col.11, lines 48-58, Armitage).

Regarding claim 23, Cwenar further discloses that a parent application comprising a plurality of application modules, each of the application modules directed to at least one of the respective users (see col.7, lines 57-64, Cwenar).

Regarding claim 25, Cwenar further discloses that applying at least one business process model to selected ones of the stored data to generate modeled data; and automatically updating the modeled data when selected ones of the stored data are updated by operation of any one of the served applications (see col.5, lines 47-54 and col.6, lines 10-14, Cwenar)

3. Claims 8-10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cwenar (U. S. Patent no. 5,893,079) in view of Armitage (U. S. Patent no. 5,475,589) and further view of Dembo (U. S. Patent no. 5,148,365).

Regarding claim 8, Cwenar/Armitage combination discloses all claimed limitations as discussed above, except a resources optimization program adapted to use the related data retrieved from the data repository to generate an optimized allocation of resources based on at least one selected criterion. Dembo, however, discloses these limitations at (see FIG. 1 and column 1, lines 33-35 and 39-45, Dembo). It would have been obvious to one of ordinary skill

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in the art at the time of the invention to modify Cwenar/Armitage to include the claimed feature as taught by Dembo. The motivation of doing so would have been to use the system of Cwenar for optimally allocating available resources in portfolio management system (col.1, 4-7, Demo).

Regarding claim 9, Cwenar/Armitage/Dembo combination further discloses the system wherein the selected criterion comprises at least one selected from the group of developing most profitable assets first, achieving a selected net cash flow, achieving a selected earnings, achieving a selected level of production, satisfying obligations on time, and developing assets to achieve the greatest net cash flow in a selected amount of time for a selected amount of capital (see FIG. 5a-d and col.1, lines 43-49, Dembo).

Regarding claim 10, Cwenar/Armitage/Dembo combination further discloses the system wherein the applications server is adapted to automatically update selected ones of the related data when the resource optimization program generates optimized allocation of resources data (see col.8, lines 27-37, Dembo).

Regarding claim 11, Cwenar/Armitage/Dembo combination discloses the forecast application couple to predict future performance of assets (see col.8, line26 to col.9, line 4 and col. 10, lines 10-17, Dembo). Therefore, Cwenar/Armitage/Dembo combination discloses the portfolio forecast application is adapted to forecast future performance of assets based on the related data.

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4. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Cwenar (U. S. Patent no. 5,893,079) in view of Armitage (U. S. Patent no. 5,475,589) and further view of O'Shaughnessy (U. S. Patent no. 6,484,151).

Regarding claim 12, Cwenar/Armitage combination discloses all of the claimed limitation as discussed above, except "the automatically notification at least one user when related data relevant to the at least one user has been updated in the data repository". O'Shaughnessy, however, discloses this limitations on (Summary of O'Shaughnessy). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Cwenar/Armitage to include the claimed feature as taught by O'Shaughnessy. The motivation of doing so would have been to transmit the updated information to the users immediately.

5. Claims 13-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cwenar (U. S. Patent no. 5,893,079) in view of Armitage (U. S. Patent no. 5,475,589) and further view of Lu et al. (U. S. Patent no. 6,373,489).

Regarding claims 13-14, Cwenar/Armitage combination discloses all of the claimed limitation as discussed above, except the members of an asset development team having different functions related to the development and management of assets in the portfolio, each member responsible for providing particular related data corresponding thereto. Lu, however, discloses these limitations on (col. 1, lines 14-21, Lu). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Cwenar/Armitage to include the claimed feature

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as taught by Lu to provide various specialists having different functions related to the management of assets. The motivation of doing so would have been to enhance the management system.

6. Claim 28-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lu et al. (U. S. Patent no. 6,373,489) in view of Armitage (U. S. Patent no. 5,475,589).

Regarding claim 28, Lu discloses a method for managing a portfolio, comprising:  
having a plurality of asset team members each using an application related to a function of the respective asset team member to generate data; the asset team members comprising geologists, geophysicists and petroleum engineers (see col. 1, lines 14-21, Lu); and automatically updating related data (see col. 25, lines 34-36, Lu).

Lu does not explicitly disclose that the asset team members comprising a geoscientist, a regulatory compliance administrator, a right-of-way administrator, a drilling engineer, a completion engineer, a finance analyst, a field operator, a sales and marketing representative, and a portfolio manager. But, it would have been obvious to include these people who are incorporative in this management system.

Lu, furthermore, does not disclose that the related hydrocarbon-producing portfolio data. Armitage, on the other hand, discloses these limitations on (col. 4, lines 57-66; col. 5, lines 7-14 and col.7, lines 44-46, Armitage). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Cwenar, as taught by Armitage. The motivation of doing so

would have been to improve accuracy in hydrocarbon exploration and development (col.4, 13-14, Armitage).

Regarding claim 29, Lu/Armitage combination discloses a seismic interpretation application (column 6, lines 33-45, Lu). And a petroleum land management application and a drilling engineering application, and a portfolio optimization application (see col. 4, lines 57-66 and col. 5, lines 7-14; Fig. 3 and Fig. 20, Armitage).

Regarding claims 30 and 35, Lu/Armitage combination further discloses that at least one business process model to select ones of the corresponding data to generate modeled data (see col. 19, lines 58-62, Lu and col. 4, lines 57-66; col. 5, lines 7-14 and col.7, lines 44-46, Armitage).

Regarding claim 31, Lu/Armitage combination further discloses that at least one business process model comprises determining an optimized drilling schedule (see col.7, lines 42-44 and col. 11, lines 48-58, Armitage).

Regarding claim 32, Lu/Armitage combination further discloses that at least one selected from product price forecasts and production predictions (see col. 6, lines 62-67, Armitage).

Regarding claim 33, Lu/Armitage combination further discloses that at least one selected from developing most profitable assets first, achieving a selected net cash flow, achieving a

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selected earnings, achieving a selected level of production, satisfying obligations on time, and developing assets to achieve the greatest net cash flow in a selected amount of time for a selected amount of capital (see col. 4, lines 1-14, Armitage).

Regarding claim 34, Lu/Armitage combination further discloses that at least one business process model comprises forecasting hydrocarbon production (see Fig. 3 and col. 2, lines 61-67, Armitage).

Regarding claim 36, Lu/Armitage combination further discloses that at least one business process model comprises determining drilling costs associated with at least one prospectively drilled well (see col.3, lines 1-7, Armitage).

Regarding claim 37, Lu/Armitage combination further discloses that the hydrocarbon-producing portfolio comprises existing and prospective well locations, petroleum land management information related to the existing and an prospective well locations, capital equipment disposed in the existing wells, capital equipment proposed for existing and prospective wells, and estimated hydrocarbon reserves in reservoirs penetrated by the existing and prospective wells (see col.7, lines 42-44, Armitage).

Regarding claims 38-40, Lu/Armitage combination further discloses that the notifying at least one of the asset team members that corresponding data used by the one of the applications used by the at least one asset team member have been updated by operation of the other one of

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the applications used by at least one other asset team member (col. 6, lines 16-18 and col. 25, lines 34-37, Lu).

*Conclusion*

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hanh B Thai whose telephone number is 703-305-4883. The examiner can normally be reached on 8 AM - 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on 703-308-1436. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hanh Thai <sup>AT</sup>  
Art Unit 2171  
April 30, 2004



**UYEN LE**  
**PRIMARY EXAMINER**